Computational Analysis of Flow Regulatory Mechanism in Artificial Kidney



By Tuba Yaqoob

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Computational Analysis of Flow Regulatory Mechanism in Artificial Kidney



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This thesis is submitted as a partial fulfillment of the requirements for the degree of

MS in Process Systems Engineering

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Dedication

Dedicated to my Beloved Parents, Brother and family.

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All acclaim and eminence be to "ALLAH" a definitive creator of this universe, who endowed us with the ability to comprehend and made us curious to investigate this entire universe. Infinite greetings upon the leader of this universe and hereafter "HOLY PROPHET HAZRAT MUHAMMAD (P.B.U.H)": the wellspring of beneficial information and blessings for whole humankind and Uma.

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Abstract

There is an enormous need in the health welfare sector to manufacture inexpensive dialyzer membranes with minimum dialysis duration. In order to optimize the dialysis cost and duration, an in-depth analysis of the effect of dialyzer design and process parameters on toxins (ranging from small to large size molecules) clearance rate is required. The efficiency of this transport phenomena depends on the hollow fiber geometry, membrane characteristics and operating variables. It is difficult to translate the in vivo transfer process with in vitro experiments as it involves high cost to produce various designs and membranes for dialyzer.

Mathematical analysis and enhanced computational power of computers can translate the transport phenomena occurring inside the dialyzer while minimizing the development cost. In the past 30 years numerous mathematical models have been proposed to mimic the transport phenomena occurring in vivo. The models have been simulated through different software including MATLAB[®], ANSYS Fluent[®] and COMSOL Multiphysics[®]. In vitro analysis to optimize the membrane characteristics and module geometry have also been performed side by side. However, due to little communication between the in vitro and in silico research there is no efficient tool for the wet lab workers that enables them to rigorously determine the effect of membrane properties and other process parameters on clearance efficiency of dialyzer module. This void hinders to develop a membrane module that efficiently mimics the function of human kidney. To the best of authors' knowledge, COMSOL Inc. has developed an application that enable to study the effect of few membrane properties and design parameters on module clearance efficiency but it does not mimic the transport phenomena associated with dialyzers merely because of the simplicity of the mathematical model. Nevertheless, it inspires towards the development of a better application that could reduce the cost of R&D needed to optimize membrane properties and module design.

In first part of this study, a steady-state microscopic balance was developed to mimic the convective and diffusive transport of low molecular weight (LMW) solutes i.e. urea and glucose and middle molecular weight (MMW) solutes i.e. endothelin and β 2-Microglobulin inside the dialyzer. The aim of computational analysis performed with these model equations is to figure out those factors that play vital role in enhancing the dialyzer clearance. Tortuous Pore Diffusion Model (TPDM) was used to mimic the transport of solute inside the porous medium and convection-diffusion equations were used to establish the mass transfer in blood

and dialysate compartment. In the second part, development of a user-friendly stand-alone application is described thoroughly.

Keywords: artificial kidney; hemodialysis; membrane; hollow fiber dialyzer, CFD

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List of Abbreviations

C _{s,i}	molar concentration of the s-th solute in the i-th compartment [mol/litre]
Cl_s	Clearance rate of the j-th solute [ml/min]
$D_{e,s}$	Diffusivity of s-th solute in the i-th compartment $[m^2/s]$
d_i	diameter of the i-th compartment [mm]
F	friction coefficient
K_s	overall mass transfer coefficient of s-th solute [m/s]
k _{s,i}	mass transfer coefficient of s-th solute in the i-th compartment [m/s]
k _{s,mj}	mass transfer coefficient of s-th solute in the j-th membrane layer
L	length of the fiber [mm]
N	total number of fibers [-]
$N_{Sh,i}$	Sherwood number in the i-th compartment [-]
N _{Re,i}	Reynold number in the i-th compartment [-]
р	ratio of solute radius to the pore radius
Q_B	blood flow rate in the blood compartment [ml/min]
Q_{D}	dialysate flow rate in the dialysate compartment
	[ml/min]
<i>r</i> 1	inner radius of the fiber [mm]
<i>r</i> 2	radius up to the outer layer of the fiber [mm]
r3	radius of the concentric permeate channel [mm]
S_{D}	steric hinderance factor at pore inlet
u_i	axial velocity in the i-th compartment
	[m/s]
Vi	radial velocity in the i-th compartment
	[m/s]
Z	axial co-ordinate [m]
Subsc	ript and superscript
В	blood
D	dialysate
e	effective
i	i-th compartment (B,m,D)

- in inlet
- j j-th membrane layer (skin,middle,bulk)
- m membrane

Greek symbols

- δ_j thickness of the j-th membrane layer [mm]
- ε_{mj} membrane j-th layer porosity [-]
- μ_i fluid viscosity in the i-th compartment [kg/ms]
- ρ_i fluid density in the i-th compartment

CHAPTER 1

INTRODUCTION

1.1 Motivation for computational analysis of artificial kidney

The major applications of membrane technology in medical field are kidney dialysis (hemodialysis), oxygenation of blood and control diffusion of drugs in blood. Financial measures show that manufacturing cost of dialyzer (Artificial Kidney) is about US\$15 and they are usually disposed off after one session. Consequently, the trade of this small device is generating a revenue of approximately US\$2 billion [1-3].

Dialyzers, used in hemodialysis machines, are equipped with synthetic polymeric membranes and work as an artificial kidney. Since Pakistan is importing these cellulosic membranes, hemodialysis is an expensive treatment for our patients. Membrane research group (MEMAR) at NUST is working on the indigenous development of cellulose acetate membranes. The improvement in performance of the membrane dialyzer requires better understanding of flow regulatory mechanism of artificial kidney. Several mathematical models have been developed to study urea clearance, protein rejection and kinetics of minerals present in the blood. However, a wholesome approach to simulate the flow regulatory mechanism of artificial kidney yet to be developed to design an efficient membrane dialyzer.

1.2 The Kidney

Every human body has two bean-shaped organs known as kidneys. These kidneys are placed on the left and right side in the retroperitoneal space. These organs actually function like a blood filtration plants for our body. Kidneys, other than filtrating urea and toxins, regulate the urinary system, acid - base balance and arterial hypotension. So, these two small organs play a vital role in sustaining healthy body. There are three major processes performed inside the kidney:

- **1. Glomerular filtration:** Water and toxins smaller than protein are forced through the glomerular capillaries into the Bowman's capsule and Renal tubule.
- 2. **Tubular Reabsorption:** Some important minerals like glucose, amino acids and water are reabsorbed back into the blood through peritubular capillaries.
- **3. Tubular Secretion:** Important ions like H⁺ and K⁺ and drugs are removed from peritubular capillary.



Figure 1. Blood filtration system in Human Kidney [4]

1.3 Kidney Failure

Kidney is actually a complex bundle of semi-permeable porous hollow fibers. When these fibers losses their ability to filter the water and toxins from blood streams, the situation is generally described as kidney failure. In more sophisticated terms, the function of kidney is measured by its Glomerular Filtration Rate (GFR). If GFR is observed below 15% the patient is diagnosed with End Stage Renal Failure (ESRF) [5]. ESRF patient observe increase in creatinine level [6]. Due to reduction in GFR the body fluid levels are elevated. pH level decreases and Urea, glucose, endothelin, β 2- macroglobulin and complement factor D observe abnormal levels [6,7]. These molecules can be described as follows:

- **1.3.1** Urea Urea (Carbamide, CO(NH₂)₂) removes nitrogen-based components from blood and excrete these harmful substances through urine. Uremia is a disease observed in ESRF patients due to elevated level of Blood Urea Nitrogen (BUN) [8]. Uremia introduce several other imbalances in the body that ultimately leads to following conditions:
 - ♦ Anorexia
 - ♦ Lethargy
 - ♦ Fatigue

- ♦ Nausea
- Bone Pain
- Breath shortness
- Seizures to mental acuity
- ♦ Coma
- **1.3.2 Glucose** Although glucose play a vital role in our metabolism but its increase in blood raise the blood sugar level and ultimately leads to diabetes. If the kidney does not filter excess amount of glucose from blood the kidney patient will become diabetic as well, and dialysis will be the ultimate solution [9].
- **1.3.3 Endothelin** Kidney patients also suffer from hypertension due to the imbalance of endothelin. Actually, these molecules are involved in growth of smooth blood vessels but they can hinder the blood flow and cause hypertension if kidney does not function properly. In kidney failure Endothelin molecules are raised in the blood [10].
- **1.3.4** β2- Microglobulin It maintains and control the peptide binding. Elevated level of β2-Microglobulin agglomerate as amyloid fibers. One of the reasons of joint pain is accumulation of amyloid fiber in joint interstices. In kidney failure excess amount of these molecules starts accumulating in blood and ultimately cause joint pain [11].
- **1.3.5** Complement Factor D Obesity is caused by elevation of this molecule in blood. The reason of its increase is not clear but in kidney patients Complement Factor D is not filtered from blood stream [12].

Albumin is a protein which we have to keep in blood during dialysis. These molecules play a vital role in muscles growth.

1.3.6 Albumin In blood plasma the most important protein is Albumin. It is responsible for transportation of hormones as well as fatty acids. Albumins are also binding water and ions with other substances. The oncotic pressure (blood osmotic pressure due to proteins) is regulated by Albumin. We need to minimize the loss of Albumin during dialysis [13,14].

Before 1960, the ESRF was a fatal condition but today three major treatments are available for such patients [15].

• **Kidney Transplant** Organ transplant is the best and permanent solution for ESRF but it is a surgical procedure with a high cost. Secondly, it is very difficult to find a living potential donor.

- **Peritoneal Dialysis** The word peritoneal is derived from peritoneum- a thin membrane surrounding the abdominal and pelvic region. The blood is filtered inside the body using this natural membrane. This treatment is less convenient because everyday patients need 4-6 hrs. for this procedure.
- **Hemodialysis** In this treatment patient blood is pumped to an external circuit equipped with a dialyzer a small filter like a shell and tube heat exchanger. The session takes 4 hrs. and usually happens 3 times per week. Its more convenient for the patients.

1.4 Hemodialysis

Hemodialysis machine consist of a blood circulation path, a dialysate circulation system and a dialyzer. The hollow fiber inside the dialyzer separates two streams- blood stream and dialysate stream. The blood circuit consist of:

- A vascular access device
- Blood pumps (1-2)
- Pressure air leakage detectors

The dialysate flow system components are:

- Dialysate fluid containers (pure water and concentrated solution)
- Dialysate heating system
- Ultrafiltration control system
- Dialysis fluid pumps

The dialysate circulation, pumping system, leakage and pressures are continuously monitored. The hollow fiber tubules inside the dialyzer play a vital role in this whole process because these membrane fibers are responsible to purify blood toxins, urea and excess water and also responsible for retaining the important blood cells and proteins in blood stream.

1.4.1 Working principle

The phenomena behind the whole process of purification is diffusion of small size solute molecules, across the porous semi-permeable hollow fibers, due to concentration gradient - more specifically chemical potential gradient. This difference in chemical potential elevate the value of Gibb's free energy of blood side molecules, and enable them to move from high concentration point towards the low concentration point. Hemodialysis machines works on counter current flow system. The dialysate and blood streams flow in parallel and opposite direction.

1.4.2 Blood Circulation Path



Figure 2. Blood circulation path in hemodialysis machine [16]

The blood from the body is pumped to the hollow fiber dialyzer. This is done by connecting the arterial and venous blood lines to the lower and upper dialyzer ports respectively. Clean or less contaminated blood from the dialyzer pass through an air detector (air trap) to ensure the de-aeration of blood. After this process, the blood is recirculated to the patient's body. Air bubbles are detected by ultrasound devices. If any air is detected in the blood circulation path the machine is immediately stopped. The tubing set used to connect arterial and venous system to the dialyzer ports is made of polyvinylchloride and polycarbonate. Although, the dialyzer filter is used in one session, for one patient only, some residues may exist in the tubing, which can cause serious infections and diseases to the other patients. Therefore, sterilization of the blood circulation path is an extremely important part of this procedure. Either steam or ethylene oxide can serve the purpose. Steam is usually preferred over ethylene oxide as it may form anti-body and can give allergic reactions.

1.4.3 Dialysate Circulation Path



Figure 3. Dialysate circulation path [16]

Dialysate is prepared by highly purified water and concentrated electrolyte solution. A small water purification plant is usually installed within the dialysis center. The plant has water softening system, activated carbon filter, sedimentation unit and reverse osmosis unit. The formation of air or gas bubbles on the surface of hollow fiber is avoided through continuous degassing of the dialysate. The clearance rate is reduced, if degassing is not done properly. To maintain the composition of dialysate solution, the dialysate conductance and temperature is continuously monitored. In this way, hypo and hyper thermic situations are avoided.

1.4.4 Dialyzer (Artificial kidney)

Dialyzer actually plays the role of artificial kidney for End Stage Renal Failure (ESRF) patient. This is the most vital part of the hemodialysis machine because the blood plasma is filtered inside the hollow fibers of this dialyzer. The dialyzer flow pattern is analogous to shell and tube heat exchanger where blood and dialysate flow in a countercurrent manner. Since the membrane is porous and semipermeable some of the solute molecules pass through and other are retained in blood stream. The morphological characteristics of membrane decide the diffusion and rejection of molecules. Some important characteristics that determine the efficiency of dialyzer are:

- Clearance rate
- Sieving coefficient
- Ultrafiltration coefficient
- Membrane Permeability

The capillary tubules or hollow fibers are confined inside a small cylindrical housing. A variety of dialyzer, available in the market, are classified on the basis of flux and other design parameters. The classification of dialyzer on the basis of fiber material is as follows:

- Cellulosic fiber dialyzer
- Synthetic fiber dialyzer

Among these two categories the cellulosic fiber dialyzers are less expensive. Cuprophan is a famous cellulosic membrane with several hydroxyl groups. These functional groups activate undesired complements in the blood. A better version of Cuprophan is Hemophan because it esterifies most of the hydroxyl groups. Despite of all these improvements the cellulosic fibers can initiate coagulation in the blood.

Due to the above-mentioned drawbacks of cellulosic fibers the manufacturers moved toward the synthetic materials. These synthetic materials are basically polymers - used to manufacture hollow fibers. Some famous polymers are:

- Polyamide
- Polysulfone
- Polyethersulfone
- Polymethylmethacrylate (PMMA)
- Polyarylethersulfone/Polyamide

Although synthetic fibers do not completely eradicate the formation of undesirable residues, but lower the activation of this process to a great extent. The clearance efficiency of synthetic fibers is also greater than cellulosic fibers due to larger pores and thick wall. Although large pores increase clearance rate but they also allow essential molecules like Albumin to diffuse in dialysate. So, the target of manufacturer is to produce a membrane which provides maximum removal of toxins with maximum rejection of Albumin.



Figure 4. Crimps of hollow fibers and shape of dialyzer (FX100) [17]

CHAPTER 2 LITERATURE REVIEW

2.1 Literature Review

The commercial use of membrane separation technique was started with the progression of Cerein dialyzer (an Italian Company that produces medical devices and RO systems). Application of membrane technology in the medical field is equal to the overall membrane usage in the industry (membrane distillation, pervaporization, liquid-liquid extraction). If we look at the monetary worth of membrane based medical devices, their demand and worth is considerably high. Although membrane applications are found in commercial industry as well as in health care sector, but we hardly found connection between these two areas of membrane application. As a result, both kind of membrane manufacturers have separate research journals, committees and conferences. So, there exist no collaboration between these two domains [15].

A study of 2015 by Kifayat Ullah and co-workers reported that in Pakistani society occurrence of different events of kidney failure is more than hundred in a million [18]. There is enormous need in the health welfare sector to manufacture amendable and inexpensive dialyzer membranes in order to enhance the attributes of blood dialysis machines. There are several brands of dialyzer membranes fabricated by various corporations, with varying strength and weaknesses. Yet, we are facing challenges on minimizing the dialysis duration along with making them recyclable, bio-compatible and economical by technological alterations in biotech.

Conard et al. presented the historical background about the development of extracorporeal therapies (hemodialysis and hemofiltration) for ESRD patients. It was 1981 when a Scottish physical chemist, named Graham, did the separation of large size particles from smaller ones by applying the concept of diffusion using a porous skin. For the first time in history, Willem Kolff clinically used dialysis to save the life of an ESRD patient [19]. In the beginning Kolf 's dialysis machines, shown in the **Figure 5**, were applicable only for patients who had been facing acute kidney failure due to some injury or toxicity.



Figure 5. Kolff's initial design of tubular dialyzer [20]

For such patients only few sessions of dialysis were required. Till the beginning of 1960s this therapy was only used for emergency cases. The reason for rare use of hemodialysis therapy was the incompatible design of hemodialysis machine for chronic kidney patients as they need 2-3 dialysis sessions in a week for years, and it was not possible with initial designs. Later in 1960s, the design of dialyzer was improved to make it compatible for ESRD patients. An important improvement in the design was the use of plastic shunt that could be constantly fixed to patient's Arterio-Venous blood lines to provide an approach to blood circulatory system. This improvement introduced by Scribner et al. [21] permitted to link the hemodialysis machine, excluding the requirement of surgical procedure, to the patient's arterio-venous system. The initial design of Kolf's machine, comprised of tubular dialyzer, needed many liters of blood, to make sure that the extracorporeal circuit is well primed. This was the main drawback in this design. In 1950s, Kolf suggested the spiral shape of tubes, and in the beginning of 1960, spiral design was the first, industrially manufactured, discardable dialyzer. Still the blood needed to flush the tubes of dialyzer was unreasonable and during 1960s, further modification leads us to the plate and frame and hollow fiber dialyzers. It was 1975, when in the US the market of dialyzers was divided into spiral, hollow fiber and plate and frame designs as 65 %, 20 % and 15 %, respectively. The coil(spiral) shape design became obsolete in the coming ten years, and only 1/3 plate and frame with 2/3 hollow fiber systems were available. Now-a-days, hollow fiber is the only manufactured design of the dialyzer [15].

Zydney AL, for the first time, modeled the phenomena of bulk or convective transfer across the hollow fibers and established the fact that convective transport across membrane play a vital role in enhancing the clearance efficiency of high-flux hemodialysis. The model equations for blood dialysate compartments and membrane were developed with both diffusive and convective transport [22].

Jaffrin MY suggested an empirical correlation for the calculation of total clearance including the effect of both diffusive and convective transport. The correlation is:

"K = K_D + $0.43Q_{f+} 8.3 \times 10^{-3}Q_{f}$ ". In this correlation Q_f is ultrafiltration flow rate. Author has discussed three different models, published earlier, to calculate the clearance rate by using sieving coefficient and outlet toxins concentration. The results obtained by this correlation were in good agreement with in-vitro results of other authors [23].

Akcahuseyin E et al. established the difference between the conventional hemodialysis and "Continuous Arterio-Venous Hemodiafiltration (CAVHD)" transfer process. In conventional procedure only diffusion is the dominant phenomena with zero ultrafiltration, whereas in CAVHD ultrafiltration flows are prominent. Therefore, the overall mass transfer coefficient in these two procedures cannot be identical. However, at low values of ultrafiltration flow the mass transfer coefficient of CAVHD becomes equal to that of conventional hemodialysis [24].

 β 2-Microglobulin saturation in blood can cause amyloidosis (amyloid accumulation in cells and organs). Raj DS investigated the kinetics of β 2-Microglobulin during two therapeutic modalities i.e Conventional Hemodialysis (CHD) and Nocturnal Hemodialysis (NHD). This was an in-vivo study carried out over ESRF patients. Results show that Urea and Creatinine removal was not so different in both therapies but β 2-Microglobulin clearance was significantly higher in NHD compared with CHD. Author establish from this research that Amyloidosis can be delayed for a longer period in ESRF patients by applying NHD as it decreases the predialysis concentration of β 2-Microglobulin in blood [25].

Legallais C developed a theoretical model to study the impact of module design parameters, membrane characteristics and process parameters on the module clearance efficiency. The model has incorporated the phenomena of concentration polarization and variation of mass transfer coefficient with flow rate, and change in water flux along the module length. By replacing saline and plasma with blood, the model estimates within 10% and 20% of in-vivo results, respectively [26].

Coli et al. developed a mathematical model for body fluids and solutes transport. For fluids transport, a 3-compartment model was proposed including plasma, interstitial space and

intracellular space. On the other hand, the solute transfer was explained with a 2-dimensional model. In vivo clinical data of the patients was used to validate the simulation profiles. The results show variation in solutes (Urea, Sodium, Potassium, Chloride and Bicarbonate) concentration, and change in ultrafiltration profile with time. These results were in good agreement with patient's data [27].

The phenomena of hemodiafiltration is combination of diffusion and ultrafiltration flux across the membrane. The small size low molecular weight (LMW) molecules i.e urea and creatinine are transported by simple diffusion whereas middle size molecules are transported by ultrafiltration flux [28]. The mathematical equations used to calculate the solute flux and ultrafiltration flux are:

$$\begin{split} J_{\mathrm{V}} &= L_{\mathrm{P}}(P_{\mathrm{B}} - P_{\mathrm{D}} - \Delta \Pi) \\ J_{\mathrm{S}} &= K_{\mathrm{O}}(C_{\mathrm{B}} - C_{\mathrm{D}}) + J_{\mathrm{V}}\gamma(f_{\mathrm{B}}C_{\mathrm{B}} + f_{\mathrm{D}}C_{\mathrm{D}}) \end{split}$$

These model equations are discussed in a number of research articles with little difference in symbols [26-30]. *Js* and *Jv* are solute and ultrafiltration fluxes respectively, γ is sieving coefficient, which has value ranging from 0 to 1. The sieving coefficient is taken 1 for urea and lesser than 1 for large size particles. *Lp* is hydraulic permeability, which is multiplied with difference of osmotic (*P*_B - *P*_D) and oncotic pressure $\Delta \pi$. C_B and C_D represent the local concentration of blood and dialysate compartment.

Galach M et al. reported the comparison of Waniewski, Legallais and Jaffrin models. All these models are one dimensional and use different assumptions to determine the mass transfer coefficient across the membrane [28]. The model presented by Legallais has taken into account the individual mass transfer coefficient i.e at blood – membrane interphase and dialysate – membrane interphase and inside the membrane [26,28]. Waniewski on the other hand has lumped up the overall mass transfer coefficient into membrane permeability [28-29]. While Jaffrin developed an empirical correlation – as mentioned earlier that shows the dependence of transmittance coefficient on ultrafiltration flow rates [28,30].

Conard et al. did Finite Element Analysis (FEA) of the hollow fiber hexagonal shape compartmental geometry. The convection-diffusion equation for mass transport and continuity equation with Naiver-Stokes equation for momentum transport were applied [31]. The effect of concentration polarization on osmotic pressure and boundary layer was incorporated by Merril equation [32]. The porous media was modeled with Brinkman equation. Blood was

considered a non-Newtonian fluid and Carreau-Gambarodo model was used to explain the non-Newtonian behavior of blood [33].

Galach M et al. developed a virtual patient model to explain the physiological phenomena during dialysis. This model helps in selection of dialysis treatment for a patient. The author has shown that how the blood glucose level, and volume changes with time, during hemodialysis and peritoneal dialysis [34].

Yamamoto K et al. proposed that "Tortuous Capillary Pore Diffusion Model (TCPDM)" gives better estimation of diffusive permeability and water flux as compare to simple "Pore Diffusion Model (PDM)". Tortuosity makes the length of diffusional path longer than the straight rightangle displacement [35].



Figure 6. Pore diffusion model (PDM) vs Tortuous pore diffusion model (TPDM) [35]

Azar et al. reported that the urea reduction ratio and dialyzer clearance rate is elevated by enhancing the dialysate flow. This was an in-vivo study, performed on 138 dialysis patients, and statistical analysis of the collected data proved this fact [36].

Olson JC proposed a new idea in hemodialysis traditional treatment by introducing the design of portable hemodialysis system. Simulink was used to design the system model. This model work on several inputs, including dialyzer geometry, therapy time and blood flow rate. The proposed model was validated against in vitro experimental results obtained from porcine blood, and other published data of hemodialysis patients [5].

Lu J. et al. did the computational analysis of flow through parallel paths of porous channels. Naiver Stokes equation and Kendem-Katchalsky (K-K) model is simulated to develop the velocity field and concentration distribution profile [37].

Shihamul Islam with coworkers studied commercially available dialyzer membrane called Polyflux 210H manufactured by Gambro Dialysatoren- a German company. Some process parameters and design parameters were provided by company [38]. The morphological aspects of membrane were determined by Field emission Scanning Electron microscopy (FESEM). The author applied PDM with COMSOL Multiphysics 4.3 to simulate the mathematical model of this membrane [16]. The author does not incorporate tortuosity factor in his model, that is an essential characteristic of the asymmetric synthetic polymeric membrane. Although tortuosity does not impact the clearance of small size molecules, but has a huge impact on the clearance of middle size and big molecules. The big size particles face more hinderance by the zig-zag transfer path than the straight channel. Such a channel helps in rejecting Albumin molecules.

Annan K worked on the design of blood and dialysate inlet outlet headers and studied their impact on the uniformity of flow. The flow profiles were developed through mathematical modeling. The results show that the design of collars, for dialysate solution inlet, introduce non-uniform flow, and ultimately affect the solute exchange through the membrane [39].

Daveport A has discussed the evolution of design of dialyzer from cellulosic coil drum to hollow fiber smart shape of synthetic capillaries and phenomena of modern dialyzer in detail [40]. Ding W et al. developed 3D geometry of dialyzer including the housing, blood and dialysate inlets and outlets and hollow fibers. They compared the velocity and concentration profile of blood and dialysate compartments with magnetic resonance imaging (MRI) results. COMSOL Multiphysics software was used to develop the geometry and simulation of dialyzer. Simulation results have shown that clearance rate of toxins increases by increasing blood and dialysate flow [41].

Zhang Q et al. worked on the preparation of a new polymeric material that gives better clearance of urea with maximum Albumin rejection. He proposed that Polyvinylidene fluoride (PVDF) material fibers worked better than commercially available Fresenius polysulfone (F60S). The results show that protein rejection was 82.3% with water permeability of 108.2 Lh⁻¹m⁻². The ultrafiltration coefficient was found to be 62.6 ml/h/mmHg [42].

The models presented earlier considered a fixed inlet concentration of solute, and not flexible to update the concentration with time. Ravagli E et al. updated the mathematical model of hollow fiber by connecting it with blood pool model. As a result, the model is capable of updating change in the blood concentration with time [43].

Mimouni Z studied the change in blood viscosity with shear rate. If the shear rate is below 10^{-2} , blood flow in capillaries follow the non-Newtonian fluid behavior, and above this value the behavior is Newtonian. The author has discussed two models to explain the nature of blood

flow in capillaries. The two models are Quemada model and Carreau – Gambaruto model. The results obtained with these two models are compared with experimental curve. There was a point of inflection in the curve that was explained by the phenomena of rouleaux [33].

Donato D et al. use the concept of non-dimensionalization of mathematical model and made a set of dimensionless numbers. Out of these numbers the author discussed those which impact dimensionless clearance. The simulated results are very close to the experimental data. The author has shown the impact of fiber aspect ratio, membrane pressure modulus and module packing density on the overall clearance rate of different solutes. COMSOL Multiphysics Finite element method (FEM) code is used in this paper [44].

Sangeetha et al. did the comparison between clearance rate of straight and wavy structure of hollow fibers. The results show that wavy fibers significantly enhance the clearance efficiency of dialyzer, but the mechanical strength of fiber reduces by increasing the waviness. So, one has to optimize the design between mechanical strength and clearance efficiency [45].

2.2 Problem Statement

The previous studies have presented a simplified description of solute transport across the membrane by assuming uniform convective flux that permits to solve the model equations analytically. However, the analytical solution provides the results only at inlet and outlet of the hollow fibers. Therefore, in current study a CFD model is solved with Finite Element Method that provides solution on a large number of points present in the computational domain. Some mathematical models established the solute transport from blood to the dialysate side and across the membrane with an overall mass transfer coefficient. The use of overall mass transfer coefficient without considering the tortuosity and porosity of porous media introduce difference between the in vitro and in silico clearance rates. To fill this void, TPDM is used in this study that incoporates the effect of membrane tortuosity and porosity to give better estimation of overall mass transfer coefficient.

2.3 Objectives

Most of the existing research is based on 2D or 3D mathematical models, simulated with the help of COMSOL Multiphysics software, but none has provided any application for the users to study the impact of change in process variables and design parameters on clearance rate of the dialyzer. Although COMSOL Inc. has introduce the idea of such an application, but the application does not represent the transport of different solutes through membrane [46]. So, the

objective of this study is not only to develop a mathematical model of the dialyzer, but also to build a stand-alone application that enable the users to run the mathematical model with desired parameters, and study the impact of change on the clearance rate of toxins.

Polyflux H210 membrane characteristics were used to simulate the mathematical model. This is a multilayered membrane with different porosity levels. The results of simulated model are compared with Islam et al. and manufacturer data.

So, the main objectives of this study are:

- 1. To develop a model that enable us to predict the clearance rate of dialyzer at different design parameters and process variables.
- 2. To optimize the clearance efficiency of the dialyzer.
- 3. To develop a standalone user-friendly application to see performance of the dialyzer under varying conditions.
- 4. To facilitate medical doctors and researchers to understand performance of dialyzer under varying conditions.

2.4 Outline of forthcoming chapters

In chapter 3, the development of mathematical model of dialyzer including blood side, membrane and dialysate side is discussed in detail. It also includes the computational methods used in COMSOL Multiphysics. Chapter 4 discuss the results obtained from the simulated model and Chapter 5 gives conclusion and recommendations. Chapter 6 is based on the development of stand-alone application.

CHAPTER 3

DEVELOPMENT OF MATHEMATICAL MODEL

3.1 Development of Model

A framework of hollow fiber module is presented in Figure 7. A collection of about 12000 hollow fibers is enclosed in an external shell. Blood passes through the cavity of hollow fibers and dialysate, an aqueous solution of electrolytes, circulates counter-currently over the fibers. The transfer of molecules between the blood and dialysate compartment and across the semipermeable membrane is governed by diffusion and convection. In the presented model, the fibers are assumed to be uniformly spaced, organized in a hexagonal order, and interstice among the adjacent annuli are neglected. It is essential to mention that uneven spacing among fibers would results in lowering of overall mass transfer coefficient on the shell side, leading to a decline of dialyzer efficiency due to non-uniform distribution of dialysate streams therein. However, the increase of dialyzer flow rate in certain regions of the shell side partially counter balance the impact of non-uniform distribution in other areas. The solutes considered to study the transport phenomena inside the dialyzer are shown in Table 1.

Molecule	Molecular Mass (Da)	Radius (nm)
Urea	60	0.24
Glucose	180	0.5
Endothelin	4282.8	1.30
β2-Microglobulin	11800	1.94
Complement Factor D	24000	2.56
Albumin	66000	3.9

Table 1 Molecules that were examined in the computational analysis with their molecular weight [47] and diameter [48]



Figure 7. Framework of the geometry of dialyzer module (lower panel) with its model developed in this work (upper panel)

3.2 Mathematical Modeling

Following assumptions are made regarding the module framework shown in Figure 7.

- i. Steady-state and laminar flow condition prevail on both blood and dialysate side
- ii. Isothermal conditions ($T = 37^{\circ}C$)
- iii. Axial symmetry
- iv. Two-dimensional transport of mass and momentum considered
- v. Blood and dialysate are taken as an incompressible and Newtonian fluid
- vi. Gravitational effects are neglected
- vii. The velocity profiles on both blood and dialysate side are portrayed with the Navier-Stokes equations [49]
- viii. A three-layer isotropic semi-permeable membrane with a skin, middle and bulk layer was considered [50]
 - ix. Solute transport through the membrane was described with Tortuous Pore Diffusion Model (TPDM) [35]
- x. Sieving coefficient remains constant
- xi. High dilution of solutes

The governing equations and boundary conditions which describe momentum and mass transport in both blood and dialysate compartments and across the membrane are as follows:

3.3 Governing equations -Blood side (i=B)

A cylindrical co-ordinate system with two dimensions (r,z) is considered, where dialyzer length (i.e. $0 \le z \le L$) is taken along the z-direction and radius (*i.e.* $0 \le r \le r_3$) is taken along r-direction. The steady fully developed flow of blood can be described with continuity equation (Eq. 1) and Navier Stokes equation (Eq. 2-3). Equation 2 and 3 are written for radial and axial velocity components, respectively.

$$\frac{1}{r}\frac{\partial}{\partial r}(rv_i) + \frac{\partial u_i}{\partial z} = 0$$
(1)

r)
$$v_B \frac{\partial v_B}{\partial r} + u_B \frac{\partial v_B}{\partial z} = \frac{-1}{\rho_B} \frac{\partial P_B}{\partial r} + \frac{\mu_B}{\rho_B} \left[\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v_B}{\partial r} \right) - \frac{v_B}{r^2} + \frac{\partial^2 v_B}{\partial z^2} \right]$$
 (2)

z)
$$v_{\rm B}\frac{\partial u_{\rm B}}{\partial r} + u_{\rm B}\frac{\partial u_{\rm B}}{\partial z} = \frac{-1}{\rho_{\rm B}}\frac{\partial P_{\rm B}}{\partial z} + \frac{\mu_{\rm B}}{\rho_{\rm B}}\left[\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial u_{\rm B}}{\partial r}\right) + \frac{\partial^2 u_{\rm B}}{\partial z^2}\right]$$
 (3)

At z=0 and $0 < r < r_1$, a fully developed inlet velocity profile for N number of fibers obtained by solving Eq. 1 to 3 is:

$$v_B(r) = 0$$
 and $u_B(r) = \frac{2Q_B}{N\pi r_1^2} \left[1 - \left(\frac{r}{r_1}\right)^2 \right]$ (4)

In equation 4, $Q_B(ml/min)$ is blood flow rate in each of the hollow fiber and πr_1^2 is crosssectional area of the fiber. Equation 5 and 6 represent that the axial velocity is maximum at r=0 and no slip conditions prevail at the walls of the membrane, respectively.

$$v_{\rm B} = \frac{\partial u_{\rm B}}{\partial r} = 0 \ at \ r = 0 \ ; \ 0 \le z \le L \tag{5}$$

$$v_B = u_B = 0 \tag{6}$$

The convection-diffusion equation that governs the mass transfer of solutes *s* present in the blood is:

$$u_{\rm B}\frac{\partial c_{\rm s}}{\partial z} + v_{\rm B}\frac{\partial c_{\rm s}}{\partial r} = D_{\rm s}\left(\frac{\partial^2 c_{\rm s}}{\partial r^2} + \frac{1}{r}\frac{\partial c_{\rm s}}{\partial r} + \frac{\partial^2 c_{\rm s}}{\partial z^2}\right)$$
(7)

Here, $c_s(kg/m^3)$ and $D_s(m^2/s)$ are the concentration and the bulk diffusivity of solutes *s*, respectively. The boundary conditions to solve equation 7 are:

$$\forall z \text{ at } r = 0 \text{ and } r = r_1 \ c_{s,i}(r,0) = c_{s,in}$$

and

$$\frac{\partial c_{s,i}}{\partial r} = 0$$
 where $i = B$

3.4 Transfer of solutes across the Multilayer membrane (j=skin, middle, bulk)

During dialysis process, the thin porous membrane selectively allows the low molecular weight solutes to diffuse into a low concentration region. The flux of solutes is proportional to concentration gradient. The general equation to calculate the solute flux across the membrane is:

$$J_{s} = K_{s} \Big(C_{s,B} - C_{s,D} \Big)$$

$$\tag{8}$$

Here, Js (m^3/m^2s) and $K_s(m/s)$ presents the solute *s* flux across the membrane and membrane overall mass transfer coefficient, respectively. $C_{s,B}$ and $C_{s,D}$ are concentration of solute *s* in the blood and dialysate compartment. Considering the boundary layers on each side of the membrane the interfacial resistances can be taken in series as:

$$\frac{1}{K_{s}} = \frac{1}{k_{s,B}} + \frac{1}{k_{s,mj}} + \frac{1}{k_{s,D}}$$
(9)

Here, $\frac{1}{k_{s,B}}$ and $\frac{1}{k_{s,D}}$ account for the blood and dialysate side boundary layer resistences, respectively. $\frac{1}{k_{s,mj}}$ presents the resistence offered by three consecutive layers of membrane. In order to calculate the mass transfer coefficients of blood and dialysate sides, i.e. $k_{s,B}$ (*m/s*) and $k_{s,D}$ (*m/s*), following a generic correlation was used [21]. For annulus, the hydraulic diameter was used for the calculation of the Reynold number.

$$N_{Sh,i} = 1.62 \left(N_{Re,i} N_{Sc,i} \frac{d_i}{z} \right)^{1/3}$$
 where $i = B, D$ (10)

and

$$N_{\text{Re},i} = \frac{u_i d_i \rho_i}{\mu_i} ; \ Sc_i = \frac{\mu_i}{\rho_i D_i}$$
(11)

Here, N_{Sh,i},N_{Re,i} and N_{Sc,i} are presenting Sherwood number, Reynold number and Schmidt number, respectively.

3.5 Tortuous pore diffusion model (TPDM) for membrane transfer coefficient

The mass transfer coefficient of solute s in jth layer of the membrane $k_{s,mj}$ (*m/s*) is determined by TPDM. The transfer of solutes *s* within the membrane is hindered by the tortuosity and porosity of multi-layer membrane. Actually, the pores do not present a straight path for molecules, and the curved shape of the path is quantified by its tortuosity. Tortuous pore diffusion model (TPDM) used to account for all the hinderance causing factors of the porous medium is presented below.

$$k_{s,mj} = \frac{D_{es,j}}{\delta_j}$$
(42)

$$D_{es,j} = \left(\frac{D_{s,i}\varepsilon_{mj}}{\tau}\right)F(p)H_D$$
(13)

$$F(p) = \frac{1 - 2.1050p + 2.0865p^3 - 1.7068p^5 + 0..72603p^6}{1 - 0.75857p^5}$$
(14)

where,

$$p = \frac{R_s}{R_p}$$
(15)

$$H_{\rm D} = (1 - p)^2$$
(16)

The equation (13) presents the Tortuous Pore Diffusion Model (TPDM) used to calculate the effective diffusivity $D_{es,j}(m^2/s)$ of solutes *s* in the porous medium which is less than the

bulk diffusivity $D_{s,i}(m^2/s)$. Friction coefficient F(p) account for the friction that exist between the pore wall and the solute molecules, and p is the ratio of solute radius R_s to the pore radius R_p . The steric hinderance factor H_D presents the volume fraction available for the solute molecules in the cylindrical pore. Tortuosity τ defined by the ratio of pore length to the membrane thickness and the experimentally determined values of tortuosity were taken from Yamamoto et al. [17]. ε_{mj} presents the porosity of jth layer of the membrane and its experimentally determined values were taken from Islam et al [22].

3.6 Governing equations - Dialysate side (i=D)

In hollow fiber dialyzer the fibers were surrounded by a uniform annulus as shown in figure 1. The radius of the annulus r_3 is larger than fiber radius r_1 . The velocity of dialysate is also determined by solving continuity equation (8) and Navier Stokes equation (9,10) with specified boundary conditions of $u_z = 0$ at r=0 and r= r_2 . Here, r_2 is the outer radius of membrane.

$$\frac{1}{r}\frac{\partial}{\partial r}(rv_{i}) + \frac{\partial u_{i}}{\partial z} = 0$$
(17)

r)
$$v_{\rm D}\frac{\partial v_{\rm D}}{\partial r} + u_{\rm D}\frac{\partial v_{\rm D}}{\partial z} = \frac{-1}{\rho_{\rm D}}\frac{\partial P_{\rm D}}{\partial r} + \frac{\mu_{\rm D}}{\rho_{\rm D}}\left[\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial v_{\rm D}}{\partial r}\right) - \frac{v_{\rm D}}{r^2} + \frac{\partial^2 v_{\rm D}}{\partial z^2}\right]$$
 (18)

z)
$$v_{\rm D}\frac{\partial u_{\rm D}}{\partial r} + u_{\rm D}\frac{\partial u_{\rm D}}{\partial z} = \frac{-1}{\rho_{\rm D}}\frac{\partial P_{\rm D}}{\partial z} + \frac{\mu_{\rm D}}{\rho_{\rm D}}\left[\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial u_{\rm D}}{\partial r}\right) + \frac{\partial^2 u_{\rm D}}{\partial z^2}\right]$$
 (19)

The fully developed axial velocity profile of dialysate is:

$$v_{\rm D} = \frac{2Q_{\rm D}}{N\pi \left(\frac{3r_3^4}{4} + \frac{r_2^4}{4} - r_2^2 r_3^2 - r_3^4 \ln\left(\frac{r_3}{r_2}\right)\right)} \left[r^2 - r_2^2 - 2r_3^2 \ln\left(\frac{r}{r_2}\right)\right]$$
(20)

Here, $v_D(m/s)$ and Q_D (ml/min) are representing the velocity and volumetric flow rate of dialysate, respectively. The governing equation for dialysate side of solutes *s* transport can be written similar to the equation (7):

$$u_{\rm D}\frac{\partial c_{\rm s}}{\partial z} + v_{\rm D}\frac{\partial c_{\rm s}}{\partial r} = D_{\rm s}\left(\frac{\partial^2 c_{\rm s}}{\partial r^2} + \frac{1}{r}\frac{\partial c_{\rm s}}{\partial r} + \frac{\partial^2 c_{\rm s}}{\partial z^2}\right)$$
(21)

After simulating the mathematical model, the efficiency of the dialyzer (artificial kidney) was determined by calculating the clearance rate of toxins. The dialyzer clearance rate is measured by the following equation [22]:

$$Cl_{s} = \frac{Q_{B}(c_{s,in} - c_{s,out})}{c_{s,in}}$$
(22)

3.7 Computational Method

For numerical integration of the mathematical model, the Finite Element Method was applied with COMSOL Multiphysics 5.4. Free triangular meshing was used to perform the discretization of the computational domain. The maximum and minimum element size was kept 2.1×10^{-4} and 9×10^{-7} , respectively, with a maximum growth rate of 1.3 and a curvature factor of 0.3. Two study nodes were included in the solver configuration, i.e., fully Coupled and Direct. Fully Coupled node combines multi-physics domains, i.e., blood, dialysate, and different membrane layers, while applying the Newton's method damped version. Under Direct node MUMPS (Multifrontal Massively Parallel Sparse) method was chosen to enhance the computational efficiency. This method performs the factorization of linear systems in the form of Ax=b, where matrix A is factorized to determine the solution 'x'. By using the literature-reported values of model parameters, as listed in Table 2, steady-state 2D profiles of velocity and solute concentration were determined.

Parameters	Values	Units
Inner radius of the fiber, r1	0.10	mm
Radius up to the outer layer, r2	0.145	mm
Radius of the concentric permeate channel, r3	.210	mm
Length of the fiber, L	270	mm
Tortuosity, τ	2.27	
Inlet concentration, c _{s,in}	1	mol/liter
Inlet blood flow rate, Q _B	300	ml/min
Inlet dialysate flow rate, QD	500	ml/min
Total number of fibers, N	12000	
Porosity of skin layer, ε_s	0.1	
Porosity of middle layer, ϵ_m	0.27	
Porosity of bulk layer, ε_b	0.4	
Average size of skin layer pores, d _s	39.5	nm
Average size of middle layer pores, d _m	450	nm
Average size of bulk layer pores, d_b	20400	nm

Table 2 Comprehensive dataset of model parameters used for model predictions [16,35]



Figure 8. Simulation work-flow in COMSOL Multiphysics 5.4

3.8 Validation

The mathematical model developed was simulated in COMSOL Multiphysics 5.4 with certain inlet, outlet and boundary conditions, by changing different parameters. The concentration contour of urea in blood and dialysate compartment and across the membrane is shown in Figure 9.





Figure 9. Axisymmetric concentration contour of urea at both blood and dialysate side and across the membrane

In order to validate the proposed mathematical model, the model-predicted urea clearance rate was compared with Islam et al. (in-silico) [16] and experimental data reported in literature [38] at increasing blood flow rates. In Figure 10. the clearance rate of urea is compared with Polyflux 210H data provided by manufacturer [38]. The values of experimental clearance rate, in figure 10, are reported in literature with combined effect of diffusion and ultrafiltration. In table 3, the percentage difference between experimental data and the model predicted values is due to the fact that the ultrafiltration flux across the membrane was not included in the current model. However, the model predicted values for diffusive transport of solute across the membrane are in good agreement with the Islam et al (in silico) results.

0



Figure 10. Urea clearance rate for in-silico and in-vitro cases at varying blood flow rate with constant dialysate flow rate ($Q_D=500$ ml/min)

Blood flow	Model	Islam	Polyflux	Percentage	Percentage
rate	predicted	et al.	210H	Difference of model	Difference of Islam et
(ml/min)				predicted and	al. and manufacturer
				manufacturer data	data
300	247.77	244.62	281	11.82	1.28
400	289.52	286.40	339	14.59	1.08
500	318.44	317.04	378	15.75	0.4

Table 3. Comparison of this model results with literature data [16,38]

CHAPTER 4 RESULTS AND DISCUSSION

4.1 Results and Discussion

The aim of developing this mathematical model was to investigate the impact of module geometry and operating conditions on clearance efficiency and to provide a model that can be simulated at different values of parameters in order to optimize the clearance rate.

4.2 Effect of operating conditions on clearance efficiency

For model parameters, manufacturer data of Polyflux 210H (Gambro Dialysatoren GmbH, Germany, a subsidiary of Baxter International Inc.) was used and predicted clearance rate of different solutes were compared with Islam et al. [16], Theranova 400 MCO AA (Gambro Dialysatoren GmbH, Germany, a subsidiary of Baxter International Inc.), Polyflux 210H [38] and FX CorDiax 80 (Fresenius Medical Care, Bad Homburg, Germany) [52]. The blood flow rate was varied from 300 to 500ml/min keeping dialysate flow rate constant (Q_D=500ml/min). In-silico and in-vivo clearance rates plotted, against varying blood flow rates, were found in good agreement with each other. It is evident from Figure 11 and 12 that the increase in blood flow rate increases the clearance of LMW solutes (urea, glucose) but does not affect the clearance of solutes with high molecular weight. The clearance rate of Albumin is nearly constant. The increase in clearance with blood flow rate can be attributed to rise of concentration difference across the membrane. Transport of solutes is driven by concentration gradient across the membrane. By increasing the blood flow rate, the concentration gradient was increased that ultimately enhance the clearance rate of solutes. On the other hand, the clearance of large size molecules shown in Figure 6 was not affected much due to higher value of steric hinderance H and friction coefficient F(p). Due to high value of steric hinderance H, lesser volume is available for the large size molecules to pass through the cylindrical pore. The effect of steric hindrance H and friction coefficient F(p) was pronounced in Figure 6 while moving from $\beta 2$ microglobulin to albumin due to increase in size of molecules.



Figure 11. Model predicted (solid lines) vs in vivo and in silico (symbols) solute clearances plotted against varying blood flow rate at Q_D=500ml/min



Figure 12. Model predicted (solid lines) vs in vivo and in silico (symbols) solute clearances plotted against varying blood flow rate at Q_D=500ml/min

Solutes	Blood flow	Model-predicted	Islam et al.	Percentage
	rate(ml/min)	clearance(ml/min)	clearance(ml/min)	Difference
Urea	300	247.77	244.62	1.28
Glucose	200	152.50	147.68	3.26
Endothelin	600	40.28	46.08	12.52
β2-Microglobulin	600	21.13	25.57	17.36
Complement	600	12.81	16.07	20.28
Factor D				
Albumin	600	5.54	7.22	23.33

Table 4. Maximum percentage difference of this model with literature data at varying blood

 flow rate [16]

Figure 13 and 14 show the variation in clearance rate with dialysate flow rate. A good agreement was found between the model-predicted and Islam et al. in-silico results [16]. The trend of increase in clearance with dialysate flow is also validated by comparing it with Revaclear Max dialyzer experimental (reported in Bhimani et al. [53]) and Donato et al. in-silico results [44]. The difference between Revaclear Max and model-predicted data is due lack of comprehensive dataset of module parameter values needed for model predictions. The concentration gradient also increases by increasing the dialysate flow rate Q_D that ultimately enhance the clearance rate of urea and glucose as observed in figure 13. The behavior of large size molecules in figure 14 is similar to their behavior in figure 12, and the reason of low diffusivity of large size molecules across the membrane despite of high concentration gradient lies in the high values of steric hinderance H and friction coefficient F(p).

Effect of blood and dialysate flow rate on clearance show that clearance efficiency is nearly proportional to flow rates for low value of Q_B and Q_D but clearance increases more rapidly at high blood flow rate. For each solute, the clearance ultimately achieves a maximum, independent of the flow rate as C_S has approached C_g , gel concentration or solubility limit. This maximum clearance value is achieved faster for high molecular weight solutes.



Figure 13. Model predicted (solid lines) vs in vivo and in silico (symbols) solute clearances plotted against varying dialysate flow rate at Q_B =400ml/min



Figure 14. Model predicted (solid lines) vs in vivo and in silico (symbols) solute clearances plotted against varying Dialysate flow rate at Q_B=400ml/min

Solutes	Dialysate	Model-predicted	Islam et al.	Percentage	
	flow	clearance(ml/min)	clearance(ml/min)	Difference	
	rate(ml/min)				
Urea	900	296.45	291.84	+1.57	
Glucose	200	185.75	188.60	+1.51	
Endothelin	200	38.95	42.82	-9.03	
β2-	200	20.63	23.95	-13.86	
Microglobulin					
Complement	300	12.77	15.15	-15.71	
Factor D					
Albumin	400	5.56	6.89	-19.30	

Table 5. Maximum percentage difference of this model with literature data at varying dialysate

 flow rate [16]

4.2 Effect of module geometry on solute clearances

Effect of different module dimensions on clearance rate of solute was investigated. It was observed that fiber length, radius and pore size have significant impact on the clearance rate. Therefore, these parameters are discussed in detail.

4.2.1 Effect of fiber length on clearance rate

The fiber length was varied from 270mm to 540mm while keeping blood flow rate Q_B =300ml/min and dialysate flow rate Q_D =540ml/min. From figure 15, it is evident that the clearance rate of urea and glucose rises rapidly by increasing the length of the fiber. Similarly, in figure 16, the clearance rate of Endothelin and β 2-Microglobulin is doubled by varying the length from 270mm to 540mm. This increase has to be completely attributed to increase in total surface area of the hollow fibers. However, the albumin clearance is not affected much due to large size of its molecules.



Figure 15. Clearance rate of low molecular weight solutes (urea, glucose) plotted against varying length of the dialyzer at $Q_B=300$ ml/min and $Q_D=500$ ml/min



Figure 16. Clearance rate of high molecular weight solutes plotted against varying length of the dialyzer at $Q_B=300$ ml/min and $Q_D=500$ ml/min

Solutes	fiber	Model-predicted	Islam et al.	Percentage	
	length(mm)	clearance(ml/min)	clearance(ml/min)	Difference	
Urea	270	247.77	244	+1.54	
Glucose	420	231.93	227.68	+1.86	
Endothelin	270	39.80	42.70	-6.79	
β2-Microglobulin	300	23.67	26.54	-10.81	
Complement Factor	270	12.89	14.80	-12.90	
D					
Albumin	270	5.61	6.63	-15.38	

Table 6. Maximum percentage difference of this model with literature data at varying dialyzer

 fiber length [21]

4.2.2 Effect of fiber radius on clearance rate

Radius determines the opening of fiber cavity throughout the fiber length in axial direction. It was varied from 0.1mm to 0.2mm while keeping the blood flow rate $Q_B=300$ ml/min and dialysate flow rate $Q_D=500$ ml/min. From figure 17, it was observed that the clearance rate of solutes also increased by increasing the radius of fibers. This can also be attributed to overall increase in surface area of the membrane. Figure 18 shows that clearance of middle to large size molecules (from endothelin to albumin) also rises with increase in the radius of fiber but effect becomes negligible as the size of molecule increases.



Figure 17. Clearance rate of low molecular weight solutes plotted against varying radius of the dialyzer at $Q_B=300$ ml/min and $Q_D=500$ ml/min



Figure 18. Clearance rate of high molecular weight solutes plotted against varying radius of the dialyzer at Q_B =300ml/min and Q_D =500ml/min

Table 7. Maximum percentage	difference of this me	odel with literature	data at a varying radi	us
of dialyzer fiber [16]				

Solutes	fiber	Model-predicted	Islam et al.	Percentage	
	radius(mm)	clearance(ml/min)	clearance(ml/min)	Difference	
Urea	0.1	247.77	244.18	+1.47	
Glucose	0.1	182.65	181.48	+0.64	
Endothelin	0.2	58.34	68.75	-15.14	
β2-Microglobulin	0.2	28.77	39.302	-26.79	
Complement Factor	0.2	16.98	25.39	-33.11	
D					
Albumin	0.2	6.97	12.23	-42.95	

4.2.3 Effect of fiber aspect ratio

The ratio between fiber length and diameter depict the interplay between fiber dimensions and clearance rate. This ratio is called fiber aspect ratio and it is an important parameter to determine the optimum length and radius of the fiber. Figure 19 shows that as the fiber aspect ratio increases, the clearance rate also increases. It confirms that if length of the fiber increases while keeping the radius constant the clearance rate will increase. The difference between the

model predicted and Donato et al. data is due to the fact that the impact of ultrafiltration enhances the clearance efficiency and ultrafiltration flow rate is not included in current model.



Figure 19. Dimensionless urea clearance rate plotted with varying fiber aspect ratio

Table 8. Maximum percentage difference of this model with literature data at varying aspect

 ratio of dialyzer fiber [16]

Solutes	fiber aspect	Model-predicted	D.Donato et al.	Percentage
	ratio ()	clearance(ml/min)	clearance(ml/min)	Difference
Urea	900	0.6911	0.8625	-15.52

4.2.4 Effect of skin layer pore size on clearance rate

In figure 20, it can be seen that the clearance rate increases more rapidly from 10nm to 20nm. After 20nm, as the pore size of the skin layer becomes equal to the middle layer, the clearance rate become independent of pore diameter. Since the pore size increases from inner (skin) layer to the outer (bulk) layer, therefore skin layer, that is directly in contact with blood, plays a vital role in improving the permeability of different solutes. Skin layer has the smallest average pore size among the three layers. A small change in the skin layer pore size produce a large impact on clearance of toxins. Although increasing the pore size of skin layer enhance the clearance of small size molecules (urea and glucose) but it also adds a huge increment to diffusion of

albumin. This happens due to decrease in steric hindrance S_D and friction coefficient F(p) of the skin layer.



Figure 20. Effect of pore diameter on clearance rate of urea and glucose

Figure 21 shows that when pore diameter increased beyond 20nm the Albumin molecules escaped more rapidly. However, Albumin rejection is still very high in the limit of middle 1 to 20 nm with improved clearances of middle size molecules.



Figure 21. Effect of pore diameter on clearance rate of large molecular weight (LMW) molecules

This shows that increasing pore size up to 20nm (but not beyond that) provide better clearance of the toxins, with bearable loss of Albumin.

Solutes	pore dia	Model-predicted	Islam et al.	Percentage	
	(nm)	clearance(ml/min)	clearance(ml/min)	Difference	
Urea	-	-	-	-	
Glucose	-	-	-	-	
Endothelin	58	42.00	45.81	8.32	
β2-Microglobulin	56	23.18	26.08	11.12	
Complement	56	14.76	17.29	14.63	
Factor D					
Albumin	56	7.13	9.35	23.74	

Table 9. Maximum percentage difference of this model with literature data at varying pore
 diameter of dialyzer fiber [16]

CHAPTER 5

DEVELOPMENT OF A STAND-ALONE APPLICATION

5.1 Motivation for building a stand-alone application

Due to little communication between the in vitro and in silico research there is no efficient tool for the wet lab workers that enables them to rigorously determine the effect of membrane properties and other process parameters on clearance efficiency of dialyzer module. This void hinders to develop a membrane module that efficiently mimics the function of human kidney. To the best of authors' knowledge, COMSOL Inc. has developed an application that enable to study the effect of few membrane properties and design parameters on module clearance efficiency, but it does not mimic the transport phenomena associated with dialyzers merely because of the simplicity of the mathematical model [46]. Nevertheless, it inspires towards the development of a better application that could reduce the cost of R&D needed to optimize membrane properties and module design.

5.2 Introduction to Application Builder

Due to complexity of the simulation models, only researchers, having expertise in computational analysis, can use the COMSOL packages. To make this dialyzer model useful for research worker and medical doctors, COMSOL Application Builder provides a solution. Through COMSOL Application Builder, the modeling and simulation expert can develop a ready-to-use stand-alone application, that provides very precise user interphase.

5.3 Switching the COMSOL Environment

Switching from the Model Builder to Application Builder environment, introduce us with the user interphase of COMSOL Multiphysics Application Builder. The main entities of Application Builder interphase are shown in figure 23. Two major tools to build an application are:

- Form editor
- Method editor



Figure 22. Switching from Model Builder to Application Builder

🔍 🗅 📂 🖬 🔣 🕨	5 C X 🖻 🗂	• • R	👿 🔍 🛛 📗	m	embranes.mph - CON	ASOL Multiphysics	_		\times
File v Home									?
Model New New Builder Form Method	Compiler		Events	Array 1D • Array 2D • More Declaration Declarations		Main Window •	Compare	View	
Application Bui	ilder			- #					
 membranesma Inputs Main Window Forms Events Events Enclarations Methods Ibraries 	(root)								

Figure 23. Application Builder environment of COMSOL Multiphysics

5.4 Development of Forms

Nine forms were created to build the user interphase of the application as shown in figure 24.



Figure 24. Forms created to build the application

For development of these forms the **Form Objects** available on ribbon are used. These **Form Objects** are shown in figure 25. Each **Form Object** has its own settings window, that opens when an object is included in the **Form**. The **Form** is always divided into desired number of rows and columns to create cells for **Form Objects**.



Input **Button** Input Field Toggle Button By clicking Form Objects Combo Box Check Box different categories of Objects Labels are available to insert in Forms Equation Text Label I Unit - Line Display 💶 Data Display Graphics web Page Video Progress Bar 🐴 Image Results Table III Log Message Log Subforms - Form Form Collection Card Stack Composite File Import 🚺 Information Card Stack 📃 Array Input Selection Input Radio Button Miscellaneous List Box Table T Text Hyperlink **Toolbar** Slider ---- Spacer

Figure 25. Form Objects available in COMSOL Application Builder to develop Forms

5.4.1 Main Form

Main form includes all the other forms created, to make a complete display of the user interphase. Following **Form Objects** were used to make eight different forms available on the user interphase.

- Text Labels: Four Text Labels were used to display different text as shown in figure 26 and 27. Text label 1 is used to display heading Inputs & Results. Text Label 2 is used to display heading Graphics & Results. Text Label 3 and 4 are used to put description and acknowledgements.
- Form Collections: Two Form Collections were used to make collection of certain forms in the same cell. From Figure 26 and 27, it can be seen that Form Collection1 was used to display input, description and results form and Form collection 2 was used to combine geodraw, concentration, concentration profile and concentration distribution forms.
- **Image:** It was used to display the logo of SCME NUST (figure 27).



Figure 26. Form Objects used in Left-half of the Main form



Figure 27. Form Objects used in Right-half of the Main form

5.4.2 Input Form

It was created to display all the input parameters to the user. This form was developed with four different **Form Objects:**

- Line: Used to put headings of process parameters and membrane parameters (Figure 28).
- **Text Label:** Used to put names of parameters (Figure 28).
- **Input Field:** Used to provide a numeric text box to user for putting value of parameter (Figure 28).
- Unit: Used to display units of parameters (Figure 28).



Figure 28. The Input Form developed with Form Objects

5.4.3 Description form

To display the 2-D axisymmetric model of membrane a **description form** was created, and **Image** was inserted in this form through **Form Objects** (Figure 29).



Figure 29. Description form developed with Form Object

5.4.4 Results form

It was created to display the clearance rates of all the toxins. This form was developed with three type of **Form Objects**.

- **Text Label:** It was used to insert the names of all the output parameters as shown in Figure 30.
- **Data Display:** It was used to provide a place holder to the numeric value of output (Figure 30).
- Unit: It was used to display units of output parameters (Figure 30).



Figure 30. The Result Form developed with Form Objects

5.4.5 Info form

It was created to show the expected computation time. Four **Form Objects** were included here as shown in figure 31.



Figure 31. Info form developed with Form Objects

5.4.6 Geodraw form

It was created to provide a place holder to concentration, concentration profile and concentration distribution. The **Graphics** was added from **Form Objects** to set the background and tool bar of graphics window. It can be seen in figure 32 that the geodraw, concentration, concentration profile and concentration distribution form were created in the same cell to keep the graphical results in the graphics window.



Figure 32. Geodraw developed with Form Object

5.5 Development of Methods

Methods is an important node available under the **Application Builder** window, as it can be used to execute loops and run actions that are not part of typical run commands of model tree nodes. **Methods** node consists of sub nodes called **New Method**. It can be seen in figure 33, five **New Method** nodes were created to build a path of various actions for this application.



Figure 33. New Method nodes created to build a series of actions during application run.

5.5.1 p_report Method

This method node was created for automatic generation of a report, based on recently executed model results. To create such a report the basic commands were given in the **Report** node of the model building environment, available under the **Model Builder** window, as shown in figure 34. The commands written in **New Method** node, named **p_report**, to make this report available for the user, are shown in figure 35.



Figure 34. Report nodes created in model building environment to set the format and features of report



Figure 35. if-else loop used to generate the report of results in application

5.5.2 p_input_changed Method

This method was created to ensure that the input will change only if the solution exist on the given input values. The code of this node is shown in figure 36.



Figure 36. Code written in the p_input_changed node

5.5.3 p_init_application Method

This method node was created to give sequence to the result plots in the graphics window. The code written for this purpose is shown in figure 37.

```
🗉 p init application 🗙
    if (model.sol("sol1").isEmpty()) {
  1
         solution_state = "nosolution";
  2
  3
       }
    else {
  4
  5
         solution state = "solutionexists";
  6
       }
  7
  8
       zoomExtents("geomdraw/graphics1");
       zoomExtents("concentration/graphics1");
  9
```

Figure 37. Code written in the p_init_application node

5.5.4 p_solve_and_plot Method

This method include code to solve and plot the exclusive results, needed in the graphics window, on changing the input. This will reduce the run time of the application as it will only work on the execution of specific plots. The code written in this regard is shown in figure 38.



Figure 38. Code written in the p_solve_and_plot node

5.5.5 p_create_result_table Method

This code was written to include tabular form of results in the report, generated when the application is executed.

4	p_cr	eate_results_table ×
	1	<pre>model.result().table("tbl1").clearTableData();</pre>
	2	<pre>model.result().numerical("min1").set("table", "tbl1");</pre>
	3	<pre>model.result().numerical("min1").setResult();</pre>
	4	<pre>model.result().numerical("min2").set("table", "tbl1");</pre>
	5	<pre>model.result().numerical("min2").setResult();</pre>
	6	<pre>model.result().numerical("min3").set("table", "tbl1");</pre>
	7	<pre>model.result().numerical("min3").setResult();</pre>
	8	<pre>model.result().numerical("min4").set("table", "tbl1");</pre>
	9	<pre>model.result().numerical("min4").setResult();</pre>
	10	<pre>model.result().numerical("min5").set("table", "tbl1");</pre>
	11	<pre>model.result().numerical("min5").setResult();</pre>
	12	<pre>model.result().numerical("min6").set("table", "tbl1");</pre>
	13	<pre>model.result().numerical("min6").setResult();</pre>
	14	<pre>model.result().numerical("min7").set("table", "tbl1");</pre>
	15	<pre>model.result().numerical("min7").appendResult();</pre>

Figure 39. Code written in the p_create_result_table node

5.6 Development of the File Menu and Ribbon tab

The **Application Builder** window contains a node called **Main Window** that is used to develop **File Menu** and **Ribbon** tab for the application. The **File Menu** and **Ribbon** tab of the application was created by using sub-nodes of the **Main Window**. The procedure of this development process is shown in figure 40.



Figure 40. Step wise development of sections and sub-sections of File Menu and Ribbon Tab

5.6.1 Reset to Default item

This item, created under **Ribbon Section** named **Input**, was used to reset the values of all the input parameters to their default values. Following sequence of commands was given in the setting window of this **item**.

Command	lcon	Arguments
Set R1 of Parameters 1	1	0.1[mm]
Set R2 of Parameters 1	1	0.145[mm]
Set R3 of Parameters 1	1	.210[mm]
Set H of Parameters 1	1	270[mm]
Set tau of Parameters 1		2.27
Set ei of Parameters 1	1	0.1
Set dpin of Parameters 1	1	39.5[nm]
Set n of Parameters 1	1	12000
Set c0 of Parameters 1	1	1[mol/liter]
Set Q_b of Parameters 1	1	300[ml/min]
Set Q_d of Parameters 1	1	500[ml/min]
Build Geometry display		
Zoom extents	+	main/collection2/graphics1
p_input_changed		

Figure 41. Command sequence included in Reset to Default Item

5.6.2 Update item

This item, created under **Ribbon Section** named **Geometry**, was used to update the geometry in the graphics window. Following sequence of commands was given in the setting window of this **item**.

Command	lcon	Arguments
Build Geometry display		
Plot Geometry display	•	
Zoom extents	÷	geomdraw/graphics1

Figure 42. Command sequence included in Update item

5.6.3 Compute item

Compute is an **Item** created under the **Ribbon Section**, named **Simulation**, to execute the computational analysis output in a given sequence. The sequence of commands is shown in figure 43.

>>				
Command	Icon	Arguments		
Build Geometry 1				
Build Mesh 1				
Plot Geometry display	•	geomdraw/graphics1		
Zoom extents	÷	geomdraw/graphics1		
p_solve_and_plot				
Zoom extents	÷	concentration/graphics1		
Zoom extents	÷	concentration_profile/graphics1		
Zoom extents	<u>ب</u>	concentration_distribution/graphics1		
Reset current view	Ċ,	concentration_distribution/graphics1		
p_create_results_table				
Set active_plot of String	1	concentration		

Figure 43. Command sequence included in Compute item

5.6.4 Report item

Report is an **Item** created under the **Ribbon Section**, named **Documentation**, to generate the report based on output of computational analysis. The command for this is shown in figure 44.

Command	lcon	Arguments
p_report	-	

Figure 44. Command for report generation

5.6.5 Open PDF Documentation item

This item was created under the **Ribbon Section**, named **Documentation**, to see pdf document related to COMSOL Multiphysics Model. The command given in this section is shown in figure 45.

Command	lcon	Arguments
Open file		embedded:///comsol-models.chem

Figure 45. Command to see associated PDF file

5.7 Compiler

COMSOL Compiler was used to convert the application into a stand-alone app that could be used independently without licensed software. The compiler is available on the ribbon of Application building window as shown in figure 46.



Figure 46. Compiler to create a stand-alone application.

5.8 Comparison of Stand-alone Application with COMSOL Application

Figure 47. is showing the input and results window of the COMSOL and stand-alone application. It can be seen from the figure that stand-alone application determines the clearance rate of six different solutes ranging from smaller to large size molecules as well as packing density of the module fibers. However, the COMSOL application just determines the concentration of dialyzed blood. In the COMSOL application model, the liquid is not defined on blood and dialysate side. The membrane modeling is not considered in COMSOL application whereas the stand-alone version is based on a multi-layered membrane modeled with TPDM. The factors of tortuosity and porosity are not included in the COMSOL application versus the values of parameters used to build the mathematical model of COMSOL application versus the values or model equation used for building stand-alone application. Its is evident from the Table 10. that stand-alone application is based on a detailed model of multi-layered membrane whereas the COMSOL application has no model to determine the effective diffusivity of membrane.

			Input & Results			
			▼ Input Parameters			
			Membrane Parameters			
			inner radius of the fiber,R1	0.10	mm	
			Radius upto outer layer,R2	0.145	mm	
Input & Results			Radius of concentric permeate channel	el,R3 0.210	mm	
Input Parameters			length of the fiber,H	270	mm	
- Concentration and Transport Properties			tortuosity 2.27			
Inlet contaminant concentration, dialysate:	1000	mol/m³	porosity of skin layer	.1		
Diffusion coefficient dialysate and permeater	10-9	m ² /s	average dia of skin layer pores	39.5	nm	
Diffusion coefficient, dialysate and permeate.	16-5	111 / 3	number of fibers, n	12000		
Diffusion coefficient, membrane:	1e-9	m²/s	Process Parameters			
Partition coefficient:	0.7		Inlet concentration, c0	1	mol/liter	
Geometry		blood flow rate, Qb	300	ml/min		
Inner radius, fiber:	0.2	mm	dialysate flow rate, Qd	500	ml/min	
Thickness, membrane:	0.08	mm	Description			
Width concentric permeate channel	0.42	mm	▼ Results			
Longth fiber	21		Urea clearance rate	247.7	ml/min	
Length, liber:	21	mm	Glucose Clearance rate	182.6	ml/min	
Description			Endothelin Clearance rate	39.71	ml/min	
 Results 			β2-microglobulin clearance rate	21.17	ml/min	
Contaminant concentration dialyzed blood	324.5 mol/m ³		Complement Factor D	12.9	ml/min	
Contaminant concentration dialyzed blood.	67 55 0/		Albumin	5.554	ml/min	
Contaminant removal:	07.33 %		Packing Density	0.6794		

Figure 47. Comsol application window (Left side) vs Stand alone application window (Right side)
Property	COMSOL	Stand-alone App value
	app. value	
Diffusion Coefficient of liquids, D	$10^{-9} {\rm m}^2/{\rm s}$	$D = 1.62 \times$
		$10^{-12}(MW^{-0.552})$
Membrane Diffusion Coefficient, Dm	10 ⁻⁹ m ² /s	$D_{es,j} = \left(\frac{D_{s,i}\varepsilon_{mj}}{\tau}\right)F(p)H_D$
Inner Radius of the hollow fiber, Rhf	0.2mm	0.10mm
Membrane Thickness, Lm	0.28mm	0.145mm
Width of the concentric permeate	0.7mm	0.210mm
channel, Lpc		
Length of the fiber, H	21mm	270mm
Average velocity of dialysate, Uav_dia	0.5mm/s	Determine by Continuity
		and Navier Stokes equation
Average velocity of permeate, Uav_per	0.8mm/s	Determine by Continuity
		and Navier Stokes equation

Table 10. Property Data set for COMSOL application vs Stand-alone Application

CONCLUSION AND FUTURE RECOMMENDATIONS

- Tortuous Pore Diffusion Model (TPDM) was used to describe mass transport through the dialyzer membrane. Porosity and tortuosity were incorporated in this model to achieve a better estimation of solute clearance across the membrane.
- The numerical results obtained from this model were found in good agreement with the experimental results. This observation suggest that this model can be used to optimize the design and process parameters of the dialyzer module.
- The proposed model gave insight of the effect of porous medium tortuosity on diffusion of different solutes.
- By increasing the blood flow, the model predicted value of urea and glucose clearance were found 1.28% and 3.26% more than the Islam et al. predicted values. Similarly, the percentage increase found in urea and glucose clearance rate by increasing the dialysate flow, fiber length and fiber radius was 1.55% and 0.4%; 1.54 and 1.86%; 1.47 and 0.6%, respectively.
- The clearance rate of urea was increased 37.71% of its initial value by increasing the fiber aspect ratio. Due to the high steric hinderance H and friction coefficient F(p) the diffusion of large size molecules (i.e. endothelin, β2-microglobulin, complement factor D and albumin) do not increase much.
- When the pore diameter increases from 10 to 20 nm the clearance rate of urea and glucose rise by 2.09% and 7.93% of their initial values. The results suggest that the pore diameter can not be increased beyond 20nm as it leads to loss of albumin molecules which cannot be tolerated.
- Since, the developed application is stand-alone, user doesn't need COMSOL software to run the application as it was required in previous application.
- The liquids on both blood and dialysate side were not defined in the COMSOL application whereas this application determine the clearance rate of six different solutes.

• Application is simulating a multilayered membrane modeled with TPDM, but membrane modeling was not considered in COMSOL application.

In current study we investigated the transfer of six different toxins ranging from low to high molecular weight by using equation of diffusion.

If we incorporate radial convection of solute by applying trans-membrane pressure difference it would enhance the clearance and remove the excess water during hemodialysis. This process is called hemodiafiltration.

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